Compliance Guide
Deepwater Shrimp Fishing in the Western Pacific
(revised July 2014)

This guide provides information for fishermen on how to comply with rules implemented by the National Oceanic and Atmospheric Administration (NOAA), National Marine Fisheries Service (NMFS) for deepwater shrimp harvesting in the western Pacific. The information pertains only to the final rules published in the Federal Register on November 21, 2008 (73 FR 70603), December 12, 2008 (73 FR 75622), and May 29, 2009 (74 FR 25650). For the complete set of official regulations, see Title 50 of the Code of Federal Regulations, Part 665 (50 CFR 665).

Regulations are subject to change, and any discrepancies between this compliance guide and the regulations will be resolved in favor of the regulations published in the Federal Register and the Code of Federal Regulations. NMFS issued this compliance guide in accordance with Section 212 of the Small Business Regulatory Enforcement Fairness Act of 1996: Title II of Public Law 104-21.

Q1. How did the regulations come about and what are their purposes?
Amendment 13 to the Fishery Management Plan for Crustacean Fisheries of the Western Pacific Region (Crustaceans FMP) designates deepwater shrimp of the genus *Heterocarpus* as management unit species (MUS). The amendment established Federal requirements for permits and fishing reports for deepwater shrimp fishing in the U.S. Exclusive Economic Zone (EEZ) western Pacific (See Q3). The MUS designation, permits, and collection of information requirements are intended to monitor and improve understanding of U.S. deepwater shrimp fisheries and their impact on marine ecosystems.

Q2. When did the regulations take effect, and for how long are they effective?
The regulations were effective on June 29, 2009, and will remain in effect until revised or removed by NMFS.
Q3. Who is affected by the regulations?
The regulations apply to owners and operators of all vessels that fish for deepwater shrimp in the EEZ (Federal waters) of the western Pacific. Unless otherwise stated below, these waters are between 3 and 200 nautical miles from the shoreline of a state, territory, or commonwealth (See Q4).

Q4. Where are the crustacean permit areas?
- **Crustaceans Permit Area 1** means the EEZ around the Northwestern Hawaiian Islands (NWHI), including Midway Atoll.
- **Crustaceans Permit Area 2** means the EEZ around the main Hawaiian Islands.
- **Crustaceans Permit Area 3** means the EEZ around American Samoa.
- **Crustaceans Permit Area 4** means the EEZ around Palmyra Atoll, Kingman Reef, Jarvis Island, Baker Island, Howland Island, Johnston Atoll, and Wake Island.
- **Crustaceans Permit Area 5** means the EEZ around Guam and the portion of the EEZ from 3 - 200 nm around the Northern Mariana Islands.

Please note other restrictions, including a prohibition of commercial fishing, apply within the boundaries of the NWHI Marine National Monument, Rose Atoll Marine National Monument (American Samoa), Pacific Remote Islands Marine National Monument, and the Islands Unit of the Marianas Trench Marine National Monument.

Q5. What are the crustacean management unit species?

<table>
<thead>
<tr>
<th>COMMON NAME</th>
<th>SCIENTIFIC NAME</th>
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<tbody>
<tr>
<td>Spiny lobsters</td>
<td><em>Panulirus marginatus</em> and <em>P. penicillatus</em></td>
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<tr>
<td>Slipper lobsters</td>
<td>Family Scyllaridae</td>
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<tr>
<td>Kona crab</td>
<td><em>Ranina ranina</em></td>
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<tr>
<td>Deepwater shrimp</td>
<td><em>Heterocarpus spp.</em></td>
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<tr>
<td></td>
<td>- <em>H. ensifer</em></td>
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<td>- <em>H. laevigatus</em></td>
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<td>- <em>H. sibogae</em></td>
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<td>- <em>H. gibbosus</em></td>
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<td>- <em>H. lepidus</em></td>
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<td>- <em>H. dorsalis</em></td>
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<td>- <em>H. tricarinatus</em></td>
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<td>- <em>H. longirostris</em></td>
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</table>

Q6. How much does a permit cost?
The nonrefundable application fee for new and renewed permits is $32. Permits may be renewed annually.

Q7. How do I apply for a permit?
You may request a permit application from the following sources:
- Website: [www.fpir.noaa.gov/SFD/SFD_permits_index.html](http://www.fpir.noaa.gov/SFD/SFD_permits_index.html)
- Email: piro-permits@noaa.gov
- Phone: (808) 725-5190

Q8. Where do I send the completed application and fee?
Mail the completed application, fee, and required documents to:

Permits
Sustainable Fisheries
NMFS Pacific Islands Regional Office
1845 Wasp Blvd., Bldg. 176
Honolulu, HI 96818
Q9. What do I have to report?
The operator of the vessel must record, within 24 hours after the completion of each fishing day, reports of catch, fishing effort, and other information on NMFS forms (logbook). The operator must sign and date each form and must submit the original logbook to NMFS within 72 hours of each landing.

Q10. Where can I obtain a logbook, and where do I send it when completed?
To obtain or submit logbooks, please contact:

Fisheries Monitoring Branch
NMFS Pacific Islands Fisheries Science Center
1845 Wasp Blvd., Bldg. 176
Honolulu, HI 96818
Tel: (808) 725-5326
Email: kurt.kawamoto@noaa.gov
Website: [www.pifsc.noaa.gov/fmb/fmap/federal_forms](http://www.pifsc.noaa.gov/fmb/fmap/federal_forms)

NMFS Pier 38 Service Center
1139 N. Nimitz Hwy, Suite 220
Honolulu, HI 96817
Tel: (808) 944-2300

Q10. What are the vessel marking requirements?
The vessel’s official number must be displayed on the port and starboard sides of the deckhouse or hull, and on an appropriate weather deck, so as to be visible from enforcement vessels and aircraft. Affix the official number to the vessel in block Arabic numerals at least 18 inches (45.7 cm) in height for fishing and receiving vessels of 65 ft (19.8 m) in length overall or longer, and at least 10 inches (25.4 cm) in height for all other vessels. Marking must be legible and of a color that contrasts with the background. The vessel operator must ensure that the official number is clearly legible and in good repair.

Q11. Where can I obtain additional copies of this guide or more information on the requirements?
Sustainable Fisheries
NMFS Pacific Islands Regional Office
1845 Wasp Blvd., Bldg. 176
Honolulu, HI 96818
Tel: (808) 725-5000
Fax: (808) 725-5215
Website: [www.fpir.noaa.gov](http://www.fpir.noaa.gov)

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